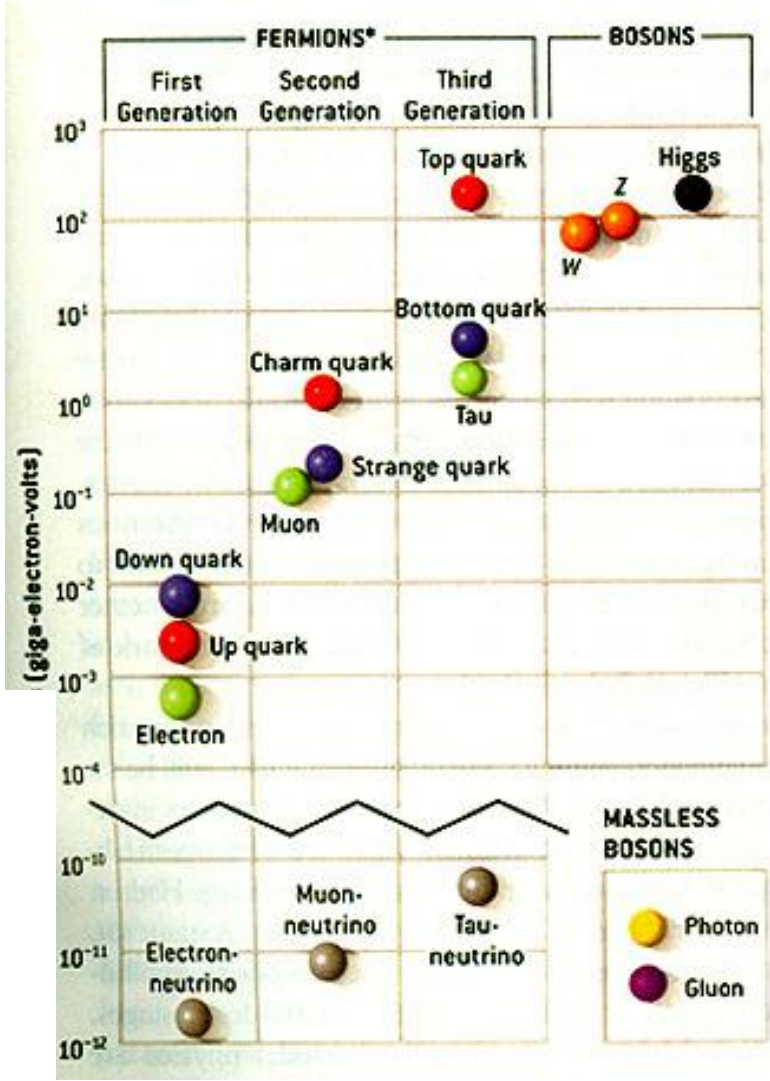


RECENT IDEAS ON THE FLAVOR PUZZLE

DANIEL HERNANDEZ

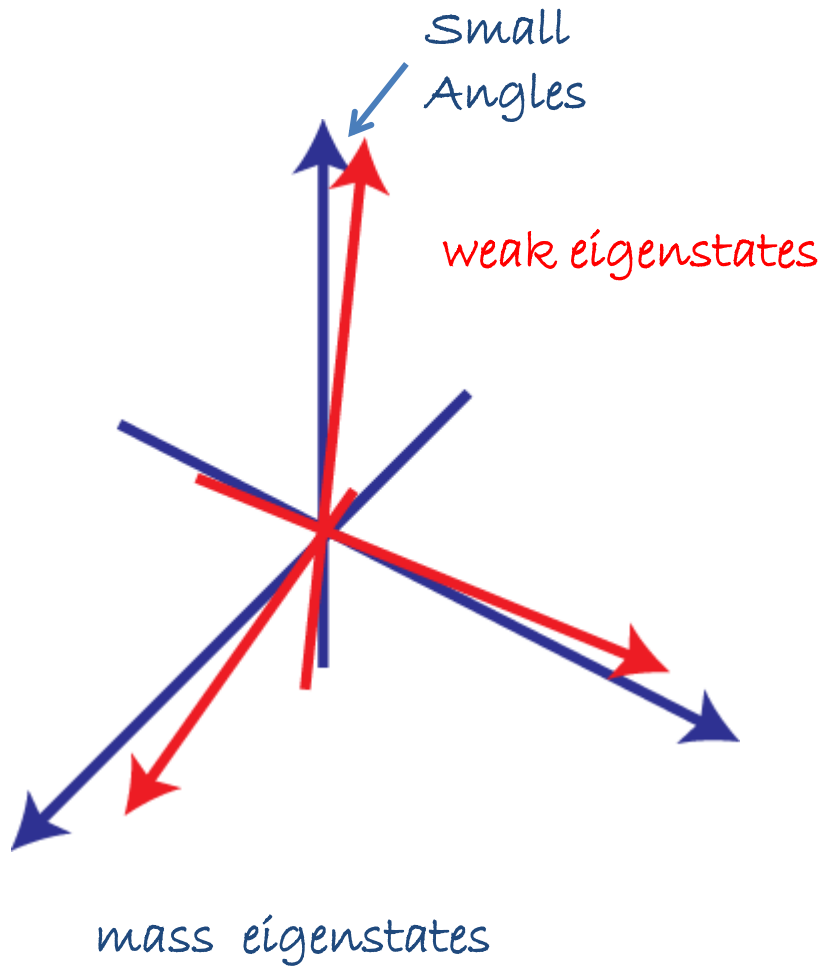
Northwestern Univ.

I	u	u	u	d	d	d	e	ν_e
II	c	c	c	s	s	s	μ	ν_μ
III	top	top	top	b	b	b	τ	ν_τ

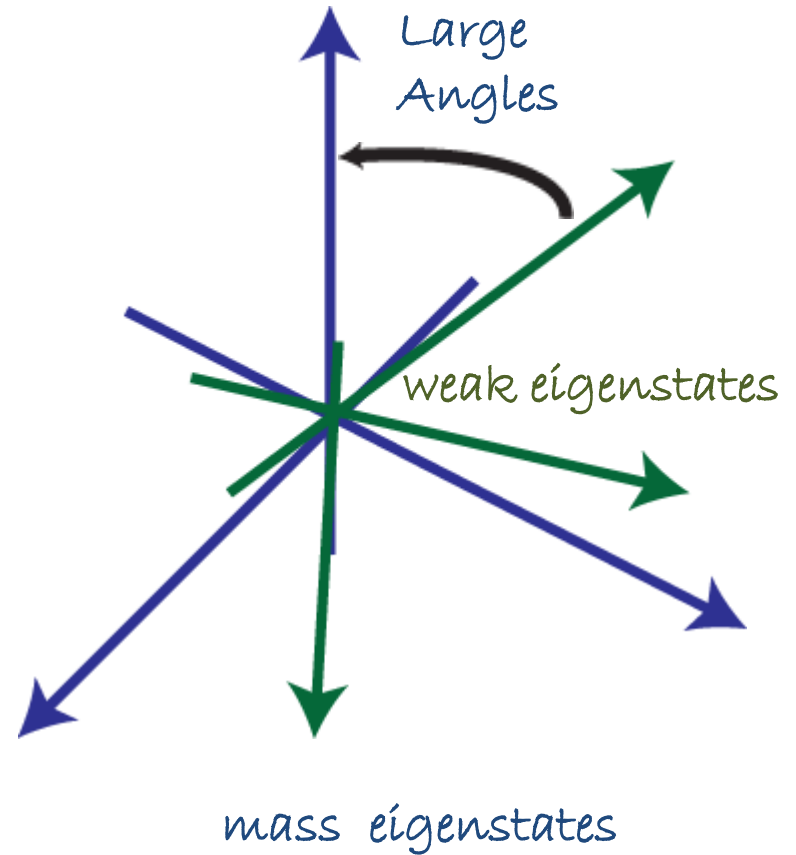


MIXING

QUARKS



LEPTONS



FLAVOR PUZZLE

- **Explain the three-family structure**
- **Pattern of masses and mixings predicted within the theory**

Are the measured masses and mixings hinting us something about the theory behind the 3 generations?



**Normal physicists
worried about
strings and other
regular stuff**

**Physicist that thought
too much about the
Flavour Puzzle**

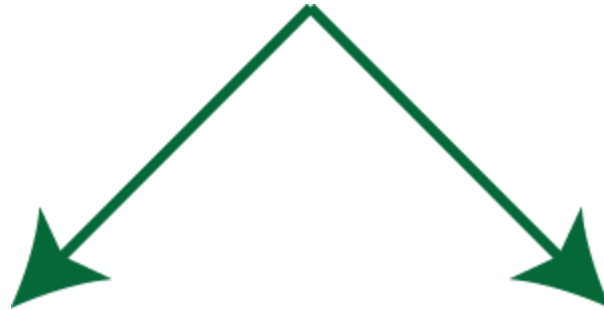
HOW TO ATTACK THE FLAVOR PUZZLE??

- **SYMMETRY** is suggested by family structure



CONTINUOUS

*Minimal Flavor Violation,
Yukawas as scalar fields,
Minimization leads to
predictions*



DISCRETE

*Decoupling of masses and
mixings,
Model independent
predictions*

R. Alonso, B. Gavela, C. S. Fong, G.
Isidori, L. Maiani, L. Merlo, E. Nardi,
S. Rigolin, D. H.

1103.2915, 1206.3167, 1306.5922, 1306.5927

A. Yu. Smirnov, D. H.

1204.04045, 1212.2149, 1304.7738



THE CONTINUOUS PATH

**R. Alonso, C. S. Fong, B. Gavela, G.
Isidori, L. Maiani, L. Merlo, E. Nardi,
S. Rigolin, D. H.**

1103.2915, 1206.3167, 1306.5922, 1306.5927

CONTINUOUS FLAVOR SYMMETRIES

invariant

noninvariant

$$\mathcal{L}_{SM}^{\text{ren}} = \mathcal{L}_{\text{kin}} - V(\text{Higgs})$$

$$G = SU(3)_{Q_L} \times SU(3)_u \times SU(3)_d \times SU(3)_L \times SU(3)_e$$


spurion

$$Q_u \rightarrow L_u Q_u, \quad d_R \rightarrow R_d d_R, \dots$$


TO RECOVER INVARIANCE!

Georgi, Chivukula; Phys.Lett.B188:99,1987
G. D'Ambrosio, G. Giudice, G. Isidori, A. Strumia;
hep-ph/0207036

WHY SPURIONS?

Hierarchy Problem  *New Physics at the **TeV***

VS

Precision Flavor Experiments  *No New Flavor Physics up to 1000 TeV!*

Operator	Bounds on Λ in TeV ($c_{ij} = 1$)		Bounds on c_{ij} ($\Lambda = 1$ TeV)		Observables
	Re	Im	Re	Im	
$(\bar{s}_L \gamma^\mu d_L)^2$	9.8×10^2	1.6×10^4	9.0×10^{-7}	3.4×10^{-9}	$\Delta m_K; \epsilon_K$
$(\bar{s}_R d_L)(\bar{s}_L d_R)$	1.8×10^4	3.2×10^5	6.9×10^{-9}	2.6×10^{-11}	$\Delta m_K; \epsilon_K$
$(\bar{c}_L \gamma^\mu u_L)^2$	1.2×10^3	2.9×10^3	5.6×10^{-7}	1.0×10^{-7}	$\Delta m_D; q/p , \phi_D$
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	6.2×10^3	1.5×10^4	5.7×10^{-8}	1.1×10^{-8}	$\Delta m_D; q/p , \phi_D$
$(\bar{b}_L \gamma^\mu d_L)^2$	5.1×10^2	9.3×10^2	3.3×10^{-6}	1.0×10^{-6}	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_R d_L)(\bar{b}_L d_R)$	1.9×10^3	3.6×10^3	5.6×10^{-7}	1.7×10^{-7}	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_L \gamma^\mu s_L)^2$	1.1×10^2		7.6×10^{-5}		Δm_{B_s}
$(\bar{b}_R s_L)(\bar{b}_L s_R)$	3.7×10^2		1.3×10^{-5}		Δm_{B_s}

G. Isidori, Y. Nir, G. Perez, 1002.0900

MINIMAL FLAVOR VIOLATION (MFV)

$$\mathcal{L} = \mathcal{L}(Y_u, Y_d, \dots + \text{fields})$$

formally invariant
under flavor!

MFV: *Not only renormalizable but also nonrenormalizable couplings should be invariant under:*

$$Q_u \rightarrow L_u Q_u, \quad d_R \rightarrow R_d d_R, \dots \quad Y_d \rightarrow L_u Y_d R_d^\dagger$$

HENCE, AT LOW ENERGIES

$$\mathcal{L}_{d \geq 5} = \sum \frac{c_{d=6}^i}{\Lambda_{fl}^2} \mathcal{O}_{d=6}^i + \dots$$

$$c_{d=6}^i \equiv c_{d=6}^i(Y_u, Y_d), \quad c_{d=6}^j \equiv c_{d=6}^j(Y_u, Y_d)$$

Minimally flavour violating dimension six operator	main observables	Λ [TeV]		
		–	+	
$\mathcal{O}_0 = \frac{1}{2}(\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu Q_L)^2$	$\epsilon_K, \quad \Delta m_{B_d}$	6.4	5.0	
$\mathcal{O}_{F1} = H^\dagger \left(\bar{D}_R \lambda_d \lambda_{\text{FC}} \sigma_{\mu\nu} Q_L \right) F_{\mu\nu}$	$B \rightarrow X_s \gamma$	9.3	12.4	
$\mathcal{O}_{G1} = H^\dagger \left(\bar{D}_R \lambda_d \lambda_{\text{FC}} \sigma_{\mu\nu} T^a Q_L \right) G_{\mu\nu}^a$	$B \rightarrow X_s \gamma$	2.6	3.5	
$\mathcal{O}_{\ell 1} = (\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu Q_L)(\bar{L}_L \gamma_\mu L_L)$	$B \rightarrow (X) \ell \bar{\ell}, \quad K \rightarrow \pi \nu \bar{\nu}, (\pi) \ell \bar{\ell}$	3.1	2.7	*
$\mathcal{O}_{\ell 2} = (\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu \tau^a Q_L)(\bar{L}_L \gamma_\mu \tau^a L_L)$	$B \rightarrow (X) \ell \bar{\ell}, \quad K \rightarrow \pi \nu \bar{\nu}, (\pi) \ell \bar{\ell}$	3.4	3.0	*
$\mathcal{O}_{H1} = (\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu Q_L)(H^\dagger i D_\mu H)$	$B \rightarrow (X) \ell \bar{\ell}, \quad K \rightarrow \pi \nu \bar{\nu}, (\pi) \ell \bar{\ell}$	1.6	1.6	*
$\mathcal{O}_{q5} = (\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu Q_L)(\bar{D}_R \gamma_\mu D_R)$	$B \rightarrow K \pi, \quad \epsilon'/\epsilon, \dots$	~ 1		

**MFV ALLOWS FOR NEW PHYSICS AT THE
TEV AND EXPLAINS WHY WE HAVEN'T
SEEN IT**

**MFV GIVES NO CLUE ABOUT
WHY MASSES AND MIXINGS ARE
WHAT THEY ARE**

CAN WE DO BETTER?

1. Consider the Yukawas as true scalar fields that transform under the flavor group
2. Write the scalar potential invariant under flavor
3. Minimize it to find masses and mixings

QUARK CASE

$$\mathcal{L} = \mathcal{L}_{kin} - V(\text{Higgs}) - Y_U \bar{Q} H U_R - Y_D \bar{Q} \tilde{H} D_R + \dots$$

Flavor Group: $G = SU(3)_Q \times SU(3)_U \times SU(3)_D$

$$Q_L \rightarrow V_L Q_L, \quad D_R \rightarrow V_{dR} D_R, \quad U_R \rightarrow V_{uR} U_R$$

$$Y_U \rightarrow V_L Y_U V_{uR}^\dagger, \quad Y_D \rightarrow V_L Y_D V_{uR}^\dagger$$

SCALAR POTENTIAL FOR THE YUKAWAS

$$Y_u = \frac{\mathcal{Y}_u}{\Lambda?}, \quad Y_d = \frac{\mathcal{Y}_d}{\Lambda?} \leftarrow \text{Not evident what this scale is}$$

FOCUS ON MIXING (for now)

$$\text{Tr}[\mathcal{Y}_u \mathcal{Y}_u^\dagger], \quad \text{Tr}[\mathcal{Y}_d \mathcal{Y}_d^\dagger], \quad \text{Tr}[\mathcal{Y}_u \mathcal{Y}_u^\dagger \mathcal{Y}_d \mathcal{Y}_d^\dagger] \quad \text{Only one that contributes to mixing}$$
$$\det[\mathcal{Y}_u], \quad \det[\mathcal{Y}_d]$$

REARRANGEMENT INEQUALITY

Let $\{a_1, a_2, \dots, a_n\}$ and $\{b_1, b_2, \dots, b_n\}$ be lists of positive numbers such that

$$a_1 \geq a_2 \geq \dots \geq a_n \quad \text{AND} \quad b_1 \geq b_2 \geq \dots \geq b_n$$

Then $a_1b_1 + a_2b_2 + \dots + a_nb_n \geq a_1b_{p(1)} + \dots + a_nb_{p(n)}$



VON NEUMANN TRACE INEQUALITY

Let A and B be two **matrices** and $\{a_1, a_2, \dots, a_n\}$ and $\{b_1, b_2, \dots, b_n\}$ be their eigenvalues with

$$a_1 \geq a_2 \geq \dots \geq a_n \quad \text{AND} \quad b_1 \geq b_2 \geq \dots \geq b_n$$

Then ~~$a_1 b_1 + a_2 b_2 + \dots + a_n b_n \geq$~~

$$\text{Tr} \left[\underset{A}{\mathcal{Y}_u} \underset{B}{\mathcal{Y}_u^\dagger} \mathcal{Y}_d \mathcal{Y}_d^\dagger \right] = \text{Tr} \left[y_u^2 U_{CKM} y_d^2 U_{CKM}^\dagger \right]$$

A B



$U_{CKM} =$ Permutation matrix

In particular

$$U_{CKM} = 1$$

Naive minimization of the Yukawa potential leads to the prediction of no mixing for quarks.

WHAT ABOUT THE LEPTONS?

Neutrinos Majorana or Dirac?

*Dirac, same story, let's try
Majorana*

SM + 2 FAMILIES OF RH NEUTRINOS

$$\mathcal{L} = \mathcal{L}_{kin} - V(\text{Higgs}) - Y_E \bar{L} H e_R - Y_\nu \bar{L} \tilde{H} N_R - \frac{M_N}{2} \bar{N} N^c + \dots$$

$$M_N = M \times \mathbb{I} \leftarrow \begin{array}{l} \text{no new source} \\ \text{of flavor} \end{array}$$

$$G = SU(3)_L \times SU(3)_E \times O(2) \leftarrow \text{two families}$$

only contrib to
mixing

$$\text{Tr} \left[Y_E Y_E^\dagger Y_\nu Y_\nu^\dagger \right] = \text{Tr} \left[y_E^2 V y_\nu^2 V^\dagger \right]$$

$$V = \text{Permutation matrix} \quad \text{BUT } V \neq U_{mix}$$

$$U_{mix}^T m_\nu U_{mix} = \text{diag}\{m_1, m_2\}$$

$$\text{for } m_\nu \propto Y_\nu Y_\nu^T$$

$V =$ *Permutation matrix*



$$U_{mix} = R(\theta) \cdot \begin{pmatrix} e^{i\alpha} & \\ & e^{-i\alpha} \end{pmatrix}$$

$$\alpha = \frac{\pi}{4}, \frac{3\pi}{4}, \quad \tan 2\theta \propto 2 \sin 2\alpha \frac{\sqrt{m_1 m_2}}{m_1 - m_2}$$

Majorana phase is determined!

Potentially Large Mixing angles!

WHAT ABOUT THE MASSES?

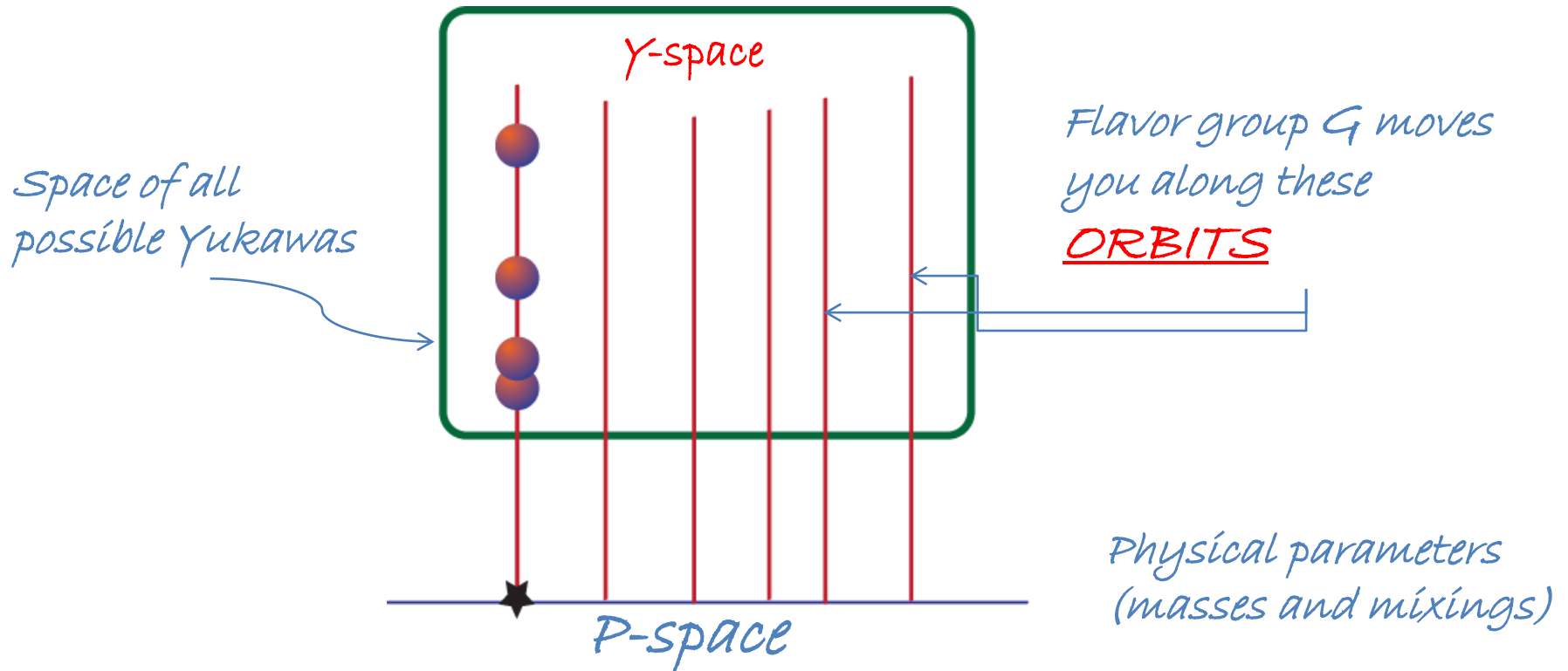
1. Masses seem to depend on many parameters in the potential.

Just a restatement of the flavor puzzle? ?

2. Neutrino sector flavor structure **unclear!**

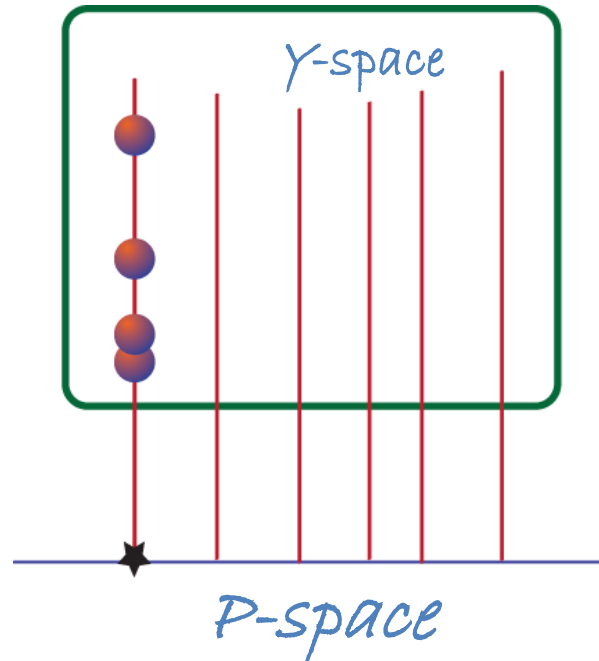
NEED MORE POWERFUL METHOD!

The Geometry of «PHYSICAL PARAMETERS»



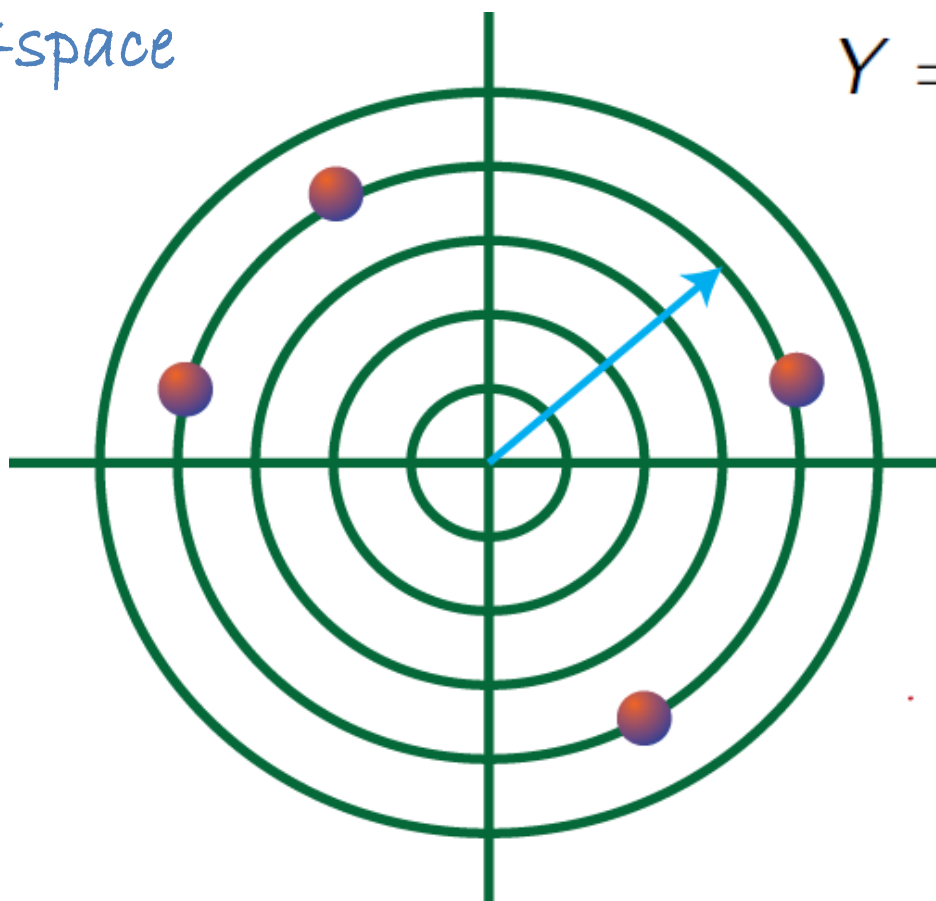
Physical parameters are **labels for the orbits** in Y -space with respect to the flavor group G

Flavor invariants are functions on Y -space that are constant along the orbits.



Physical parameters are flavor invariants but might not be the most convenient ones.

Toy Y -space



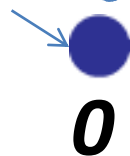
$$Y = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}$$

Flavor group

$$G = SO(2)$$

$$P = I(Y) = Y^T Y = y_1^2 + y_2^2 = r^2$$

Boundary

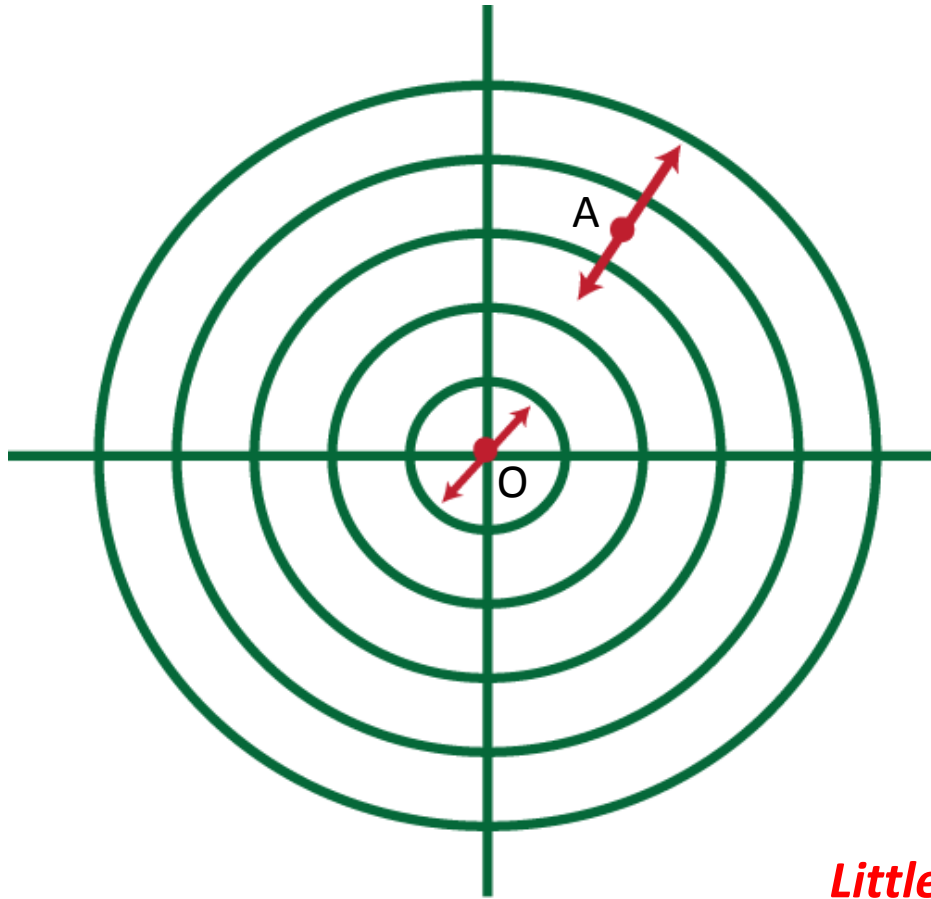


0

r^2

Physical parameter space

SYMMETRY INCREASES AT THE BORDER



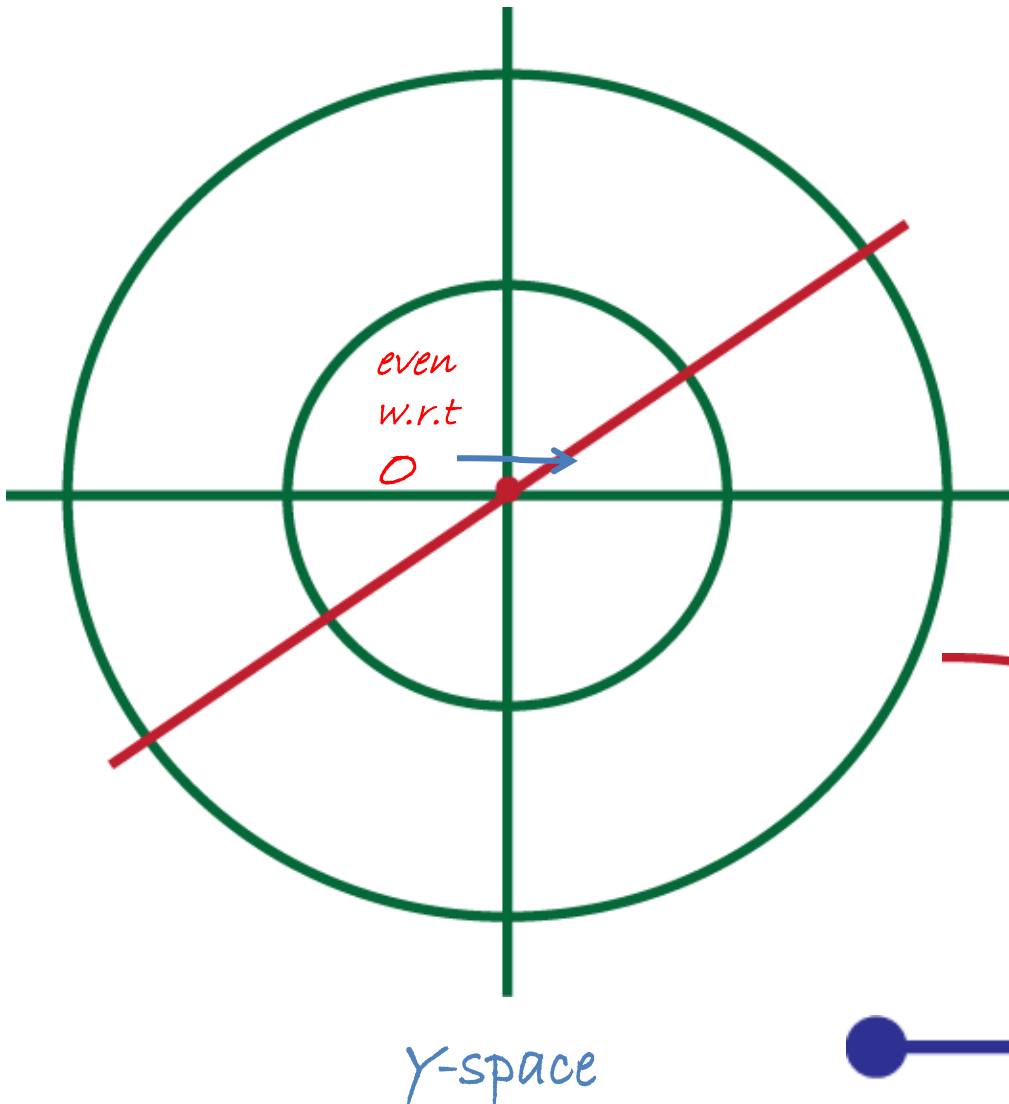
Y-space



P-space

Little group of point X: Subgroup that leaves invariant point X in Y-manifold

$P(Y)$ over a line through the origin



$P(Y)$ has an extremum at the boundary w.r.t. the directions away from it!

$$\left. \frac{\partial P}{\partial y_1} \right|_0 = \left. \frac{\partial P}{\partial y_2} \right|_0 = 0$$

$$P = P(Y) = Y^T Y$$

$$y_1^2 + y_2^2$$



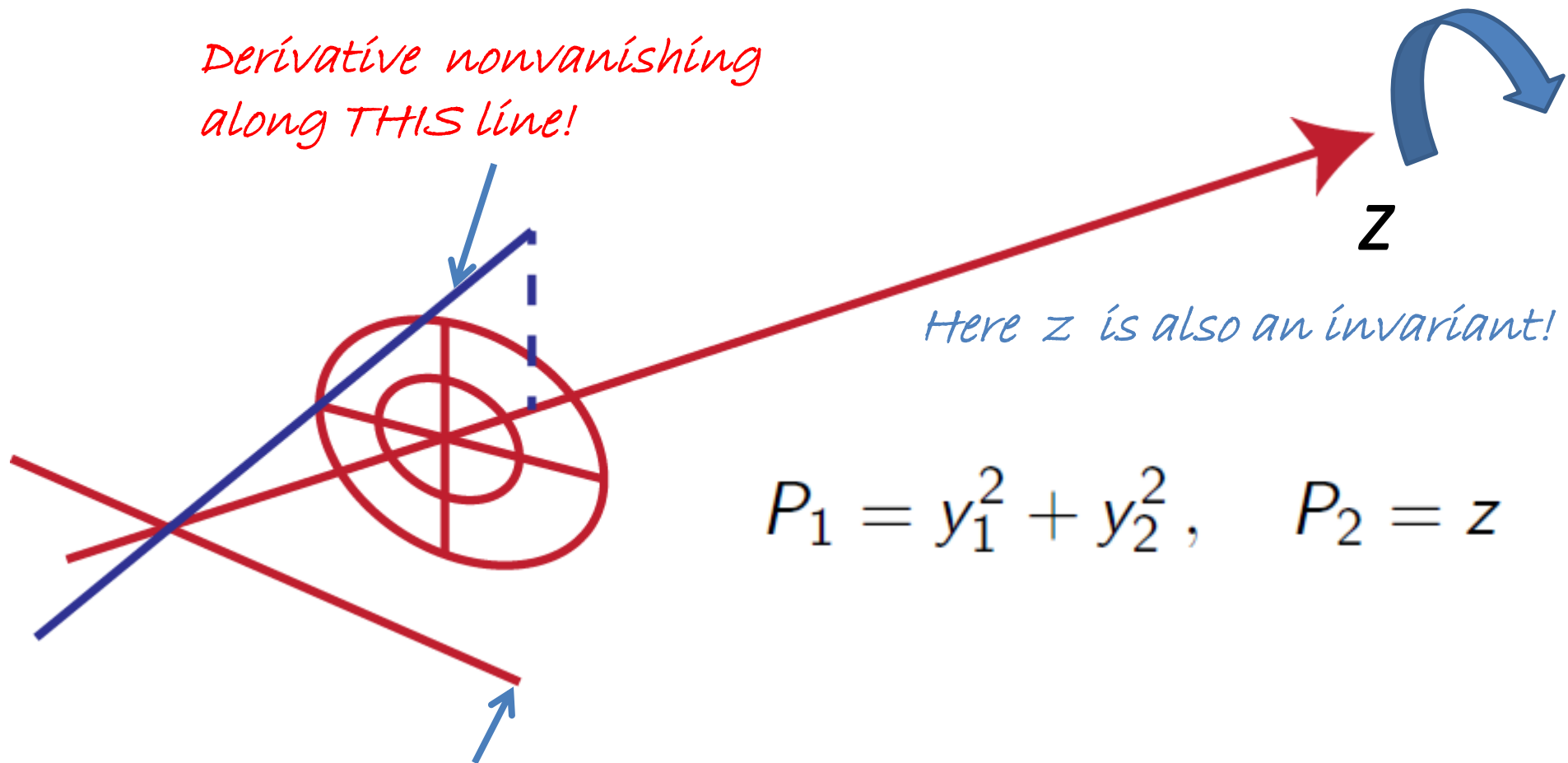
AXIAL SYMMETRY IN 3D

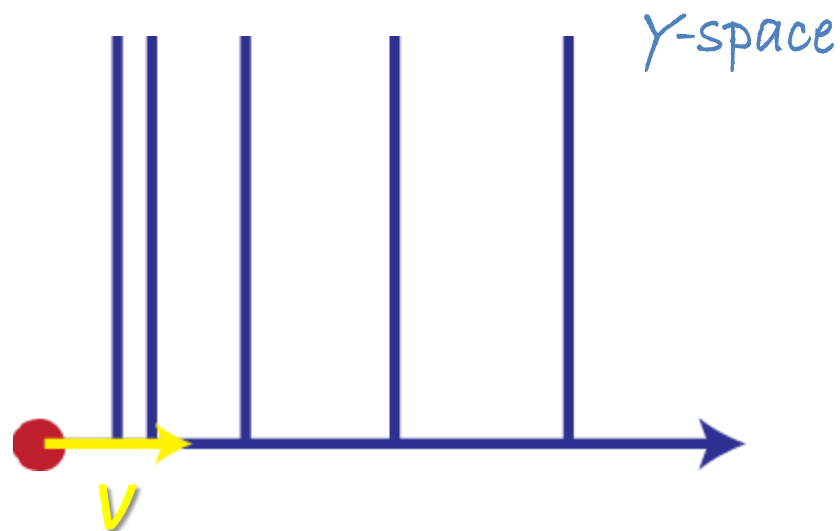
*Derivative nonvanishing
along THIS line!*

Here z is also an invariant!

$$P_1 = y_1^2 + y_2^2, \quad P_2 = z$$

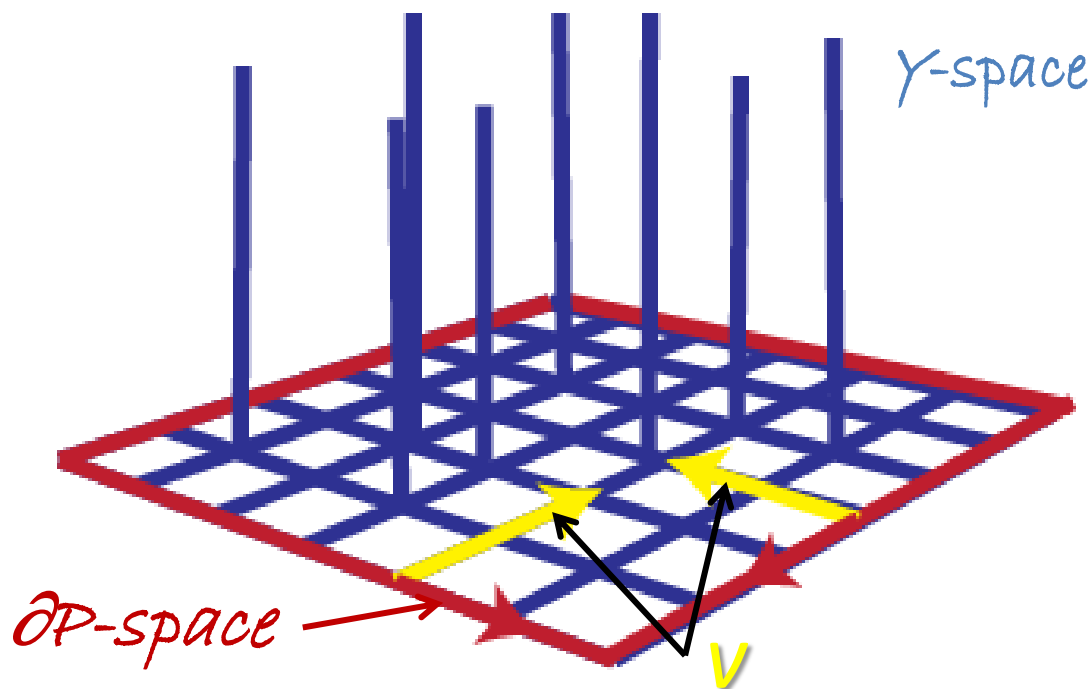
*Along THIS line:
vanishing derivative*





$$\left. \frac{\partial P}{\partial y_1} \right|_0 = \left. \frac{\partial P}{\partial y_2} \right|_0 = 0$$

$$\vec{v} = (1, 0) \quad \text{or} \quad (0, 1)$$



For all invariants

$$\forall i, \quad \vec{v} \cdot \frac{\partial P_i}{\partial \vec{y}} = 0$$

at the points of the boundary

THE SCALAR POTENTIAL

$$\frac{\partial V(\vec{y})}{\partial \vec{y}} = \sum_i \frac{\partial V(\vec{y})}{\partial P_i} \frac{\partial P_i}{\partial \vec{y}}$$



$$\vec{v} \cdot \frac{\partial V(\vec{y})}{\partial \vec{y}} = \vec{v} \sum_i \frac{\partial V(\vec{y})}{\partial P_i} \frac{\partial P_i}{\partial \vec{y}} = 0$$

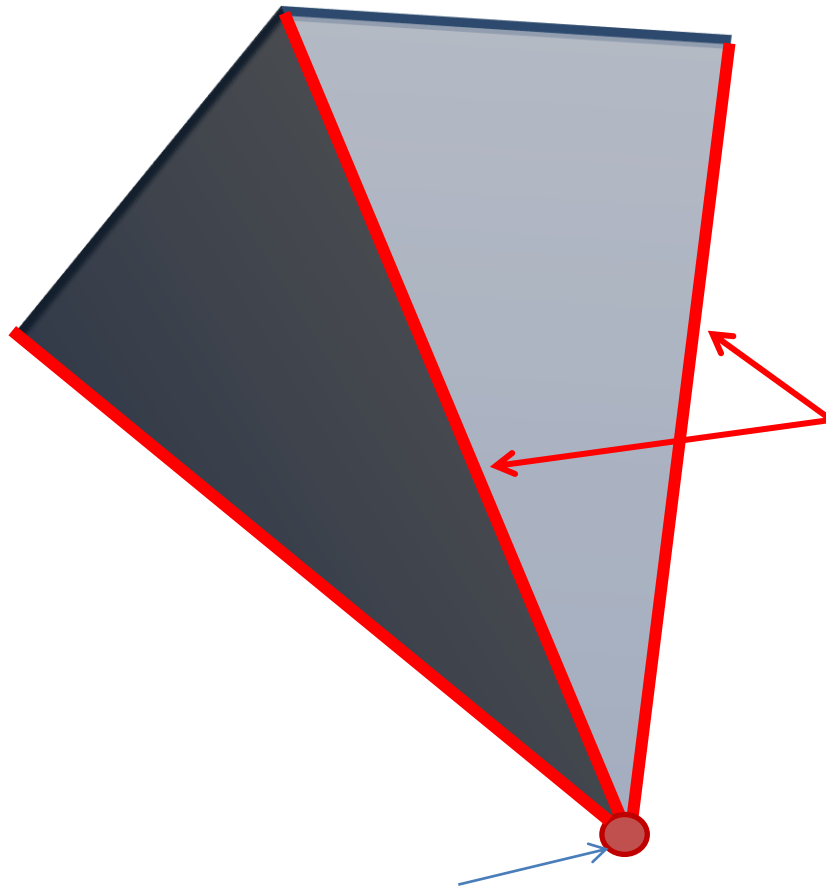


The potential at the boundary has vanishing directional derivatives towards the «insides» of Y-space

SO FAR...

1. Space of physical parameters has boundaries where the symmetry increases
2. Derivatives of the invariants along directions away from the boundary vanish
3. Extrema w.r.t. to the boundary of the invariant space are extrema of the full Y-space.

P-space



***Little group is maximal
along these lines (strata),
but not necessarily
identical!***

***Stratum: Set of points in P-space
with the same little group***

*Origin, $\gamma = 0$
always an extremum.
Little group = Whole group*

HOW TO MINIMIZE THE POTENTIAL?

1. Determine the boundaries of *P-space*
2. Starting from the origin, minimize along strata of maximal symmetry. (The larger the remaining symmetry, the more directional derivatives that vanish automatically)
3. Establish correspondence with masses and mixings.

QUARK CASE

$$Y_U \rightarrow U_q Y_U U_U^\dagger, \quad Y_D \rightarrow U_q Y_D U_D^\dagger$$

INVARIANTS

$$P_{U1} = \text{Tr} [Y_U Y_U^\dagger], \quad P_{U2} = \text{Tr} [(Y_U Y_U^\dagger)^2], \quad P_{U3} = \text{Tr} [(Y_U Y_U^\dagger)^3]$$

$$P_{D1} = \text{Tr} [Y_D Y_D^\dagger], \quad P_{D2} = \text{Tr} [(Y_D Y_D^\dagger)^2], \quad P_{D3} = \text{Tr} [(Y_D Y_D^\dagger)^3]$$

$$P_{UD} = \text{Tr} [Y_U Y_U^\dagger Y_D Y_D^\dagger], \quad P_{U2D} = \text{Tr} [(Y_U Y_U^\dagger)^2 Y_D Y_D^\dagger]$$

$$P_{UD2} = \text{Tr} [Y_U Y_U^\dagger (Y_D Y_D^\dagger)^2], \quad P_{2UD} = \text{Tr} [(Y_U Y_U^\dagger Y_D Y_D^\dagger)^2]$$

PHYSICAL PARAMETERS

$$U_{CKM} = \begin{pmatrix} c\theta & s\theta & \\ -s\theta & c\theta & \\ & & 1 \end{pmatrix}$$

+

$$M_q = \text{diag}\{0, 0, m\} \rightarrow SU(2) \times SU(2) \times U(1)$$

or $M_q = \text{diag}\{m, m, m\} \rightarrow SU(3)$

*Symmetry at the boundary
strata*



LEPTON CASE

$$G = U(3)_L \times U(3)_E \times SO(3)_N \leftarrow \text{Majorana!}$$

$$G = U(3)_L \times U(3)_E \times SO(2)_N$$

For the charged leptons, same thing as for the quarks

$$Y_E = \text{diag}\{0, 0, y\}, \quad U(3)_L \times U(3)_E \rightarrow U(2)_L \times U(2)_E \times U(1)$$

$$Y_E = \text{diag}\{y, y, y\}, \quad U(3)_L \times U(3)_E \rightarrow U(3)_{\text{vec}}$$

New invariants in the neutrino sector

$$\text{Tr} \left[Y_\nu^\dagger Y_\nu Y_\nu^T Y_\nu^* \right] , \quad \text{Tr} \left[(Y_\nu^\dagger Y_\nu)^2 Y_\nu^T Y_\nu^* \right]$$



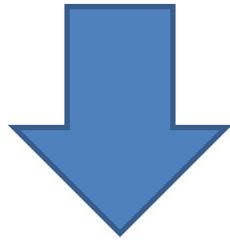
$$m_\nu = \text{diag}\{m, m, m\} , \quad U(3)_L \times O(3) \rightarrow O(3)_{\text{vec}}$$

$$m_\nu = \text{diag}\{m, m, m'\} , \quad U(3)_L \times SO(2)_N \rightarrow U(1)$$

One maximal mixing angle!

BEST SCENARIO

$$m_\nu = \text{diag}\{m, m, m'\}, \quad U(3)_L \times SO(2)_N \rightarrow U(1)$$



$$Y_E = \text{diag}\{0, 0, y\} + m_\nu, \quad G \rightarrow SU(2)_E \times U(1)$$

$$\alpha = \pi/4, \quad \theta_{23} \sim \frac{\pi}{4}, \quad \theta_{13} = 0$$

Minimization of the potential leads to phenomenologically relevant Yukawas for both the leptons and the quarks.

WHERE DOES IT FAIL?

- **Global symmetry breaking -> Goldstones**
- **The Return of the Hierarchy**
- **Beyond leading order??**

BEYOND LEADING ORDER?

Lepton case, not so difficult

$$m_\nu = \text{diag}\{m', m, m\}, \quad U(3)_L \times SO(2)_N \rightarrow U(1)$$

Quark case

$$M_q = \text{diag}\{0, 0, m\}$$

Simple

$$M_q = m \cdot \text{diag}\{\epsilon_1, \epsilon_2, 1\}$$

HARD!

BEYOND LEADING ORDER?

Can we get $M_q = m \cdot \text{diag}\{\epsilon_1, \epsilon_2, 1\}$?

- **Quantum corrections? Doesn't work**

Eff. potential $V(Y) = V_0(Y) + \epsilon V_1(Y) + \dots$

$$M^2 = M_0^2 + \epsilon M_1^2, \quad M^2 = \frac{\partial V}{\partial Y_i \partial Y_j}$$

BEYOND LEADING ORDER?

- Nonrenormalizable terms?

Same argument...

$$M_q = m \cdot \text{diag}\{\epsilon_1, \epsilon_2, 1\}$$

MUST APPEAR AT TREE LEVEL!

BEYOND LEADING ORDER?

- Extended field content?

This works but reintroduces the flavor puzzle. All the complication goes to the scalar potential. No preference for «small perturbation» solution

Maybe different symmetry breaking technology needed

GOLDSTONES? OK, GAUGE

ANOMALIES?

OK, MAKE VECTOR-LIKE

THE HIERARCHY PROBLEM

Usually understood as:

$$\delta m_h^2 = \frac{\Lambda^2}{16\pi^2} \left(6\lambda + \frac{9}{4}g^2 + \frac{3}{4}g'^2 - 6y_t^2 \right) + \dots$$

NOT A PROBLEM IN THIS TALK!

Hierarchy Problem: *Large, finite quantum corrections to the Higgs mass proportional to the scale of new physics.*

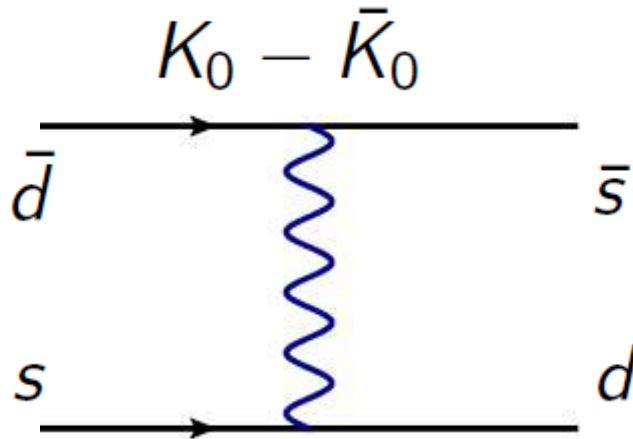
HIERARCHY AFFLICTED THEORIES

- *Grand Unified Theories*
- *Neutrino masses. Seesaw*
- *Axions*
- *.....*

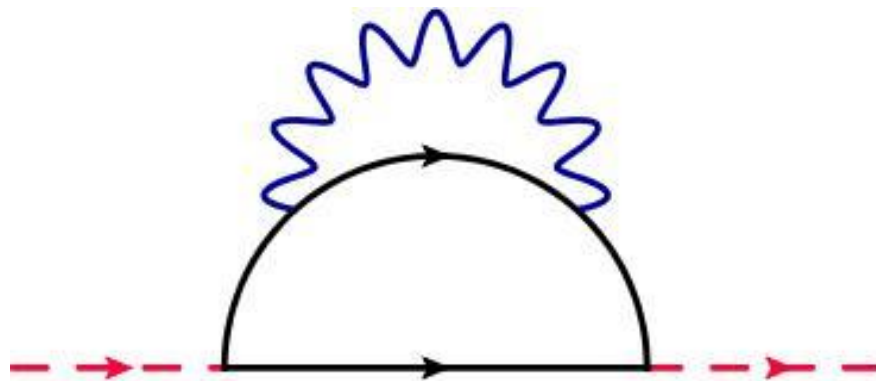
DEALING WITH IT

- *Forget about it*
- *Assume your new physics is around the TeV or lower (SUSY, Little Higgs...)*
- *Come up with a new solution if you can.*

H.P. IN GAUGE FLAVOR SYMMETRIES



$$\frac{M_X}{g_{fl}} \sim 10^{3-4} \text{ TeV}$$



$$\sim \frac{1}{16\pi^2} y_t g^2 \cdot \frac{M_X}{g}$$

$$g_{fl} \sim 10^{-1} - 10^{-2}$$

NOT SO BAD

H.P. IN GAUGE FLAVOR SYMMETRIES

Yukawas give mass to the gauge bosons $\langle \mathcal{Y} \rangle \sim \frac{M_{fl}}{g_{fl}} \sim 10^{3-4} \text{ TeV}$

But for the quark masses we have

$$\frac{\langle \mathcal{Y} \rangle}{\Lambda_{?}} Q_L H U_R \quad \longrightarrow \quad \Lambda_{?} \sim \langle \mathcal{Y} \rangle \frac{m_{top}}{m_{up}}$$

Hard to avoid $m_H \propto \Lambda_{?}$

H.P. IN GAUGE FLAVOR SYMMETRIES

~~Assumption: There exists $\Lambda? \gg M_{fl}$~~

Assumption: Effective Yukawas are suppressed by the flavor breaking scale

$$\frac{\Lambda?}{\langle \mathcal{Y} \rangle} Q_L H U_R$$

Notice this is just
a «Seesaw»

$$\frac{c}{M_{LN}} LLHH$$

Lepton Number
violating scale

$$\frac{\Lambda_{?}}{\langle \mathcal{Y} \rangle} Q_L H U_R$$

Eigenvalues of $\langle \mathcal{Y} \rangle \propto \left\{ \frac{1}{m_u}, \frac{1}{m_c}, \frac{1}{m_t} \right\}$

$$\langle \mathcal{Y} \rangle \sim \Lambda_{fl} \leftarrow \text{Scale of Flavor Violation}$$

From FCNCs and flavor SB $\Lambda_{fl} \gtrsim 10^4 \text{TeV}$

$$m_u \sim \frac{v_H \Lambda_{?}}{\Lambda_{fl}} \quad \longrightarrow \quad \frac{\Lambda_{?}}{v_h} \sim 1 - 10^{-1}$$

$$\frac{\Lambda?}{\langle Y \rangle} Q_L H U_R \quad \text{with} \quad \frac{\Lambda?}{V_h} \sim 1 - 10^{-1}$$

This might be too low!

Precise model building in progress...

- *MFV violation, still good, lowers flavor scale to almost no tension with Hierarchy. But smells of defeat.*
- *Yukawas as scalar fields. A step forward? Promising zeroth order vevs almost forced on you. Both for quarks and leptons.*
- *Going beyond is tricky. Reintroduction of the flavor problem? Reintroduction of the Hierarchy? Some new ideas seem to be required.*
- *A couple of cute mathematical techniques.*

- *How to get the SM spectrum and mixings in all their complications? New ideas to lift the zero eigenvalues?*



THE DISCRETE PREDICTIONS

D. H. and A. Yu. Smirnov;
[1204.0445](#), [1212.2149](#) ,
1304.7738

A theory of flavor

A theory of masses: *One that predicts a structure for Y and M independently without saying anything about the other*

A theory of mixing: *One that predicts the misalignment between Y and M without necessarily speaking about the eigenvalues of each.*

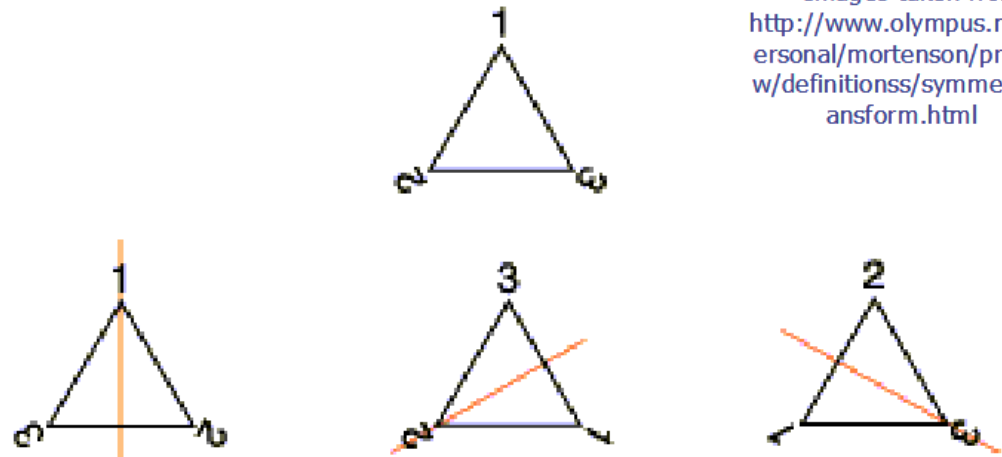
DISCRETE SYMMETRIES *form part of such a theory of flavor*

WHAT ARE (NONABELIAN) DISCRETE SYMMETRIES?

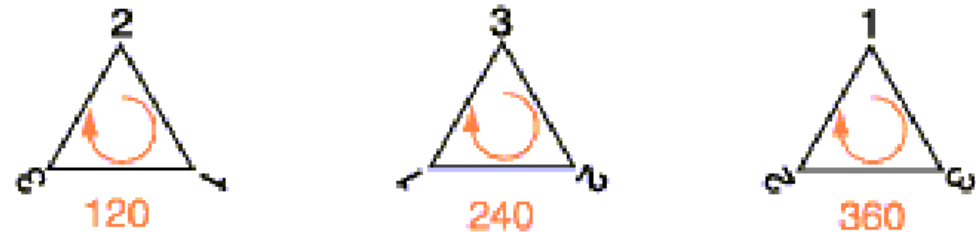
Generators

* Images taken from
<http://www.olympus.net/personal/mortenson/preview/definitions/symmetrytransformation.html>

Reflections (P) →



120° Rotations (O) ←



Do not commute

$$PO \neq OP$$

Raised to some power, equal the identity

FOR INSTANCE $P^2 = O^3 = 1$

A4: symmetry group of the tetrahedron

S4: Full permutation symmetry of 4 elements

A5: Symmetry group of the icosahedron

.....

Important fact: These symmetries have 3-dimensional representations that account for the presence of 3 families

$$|U_{TBM}|^2 = \begin{array}{c} e \\ \mu \\ \tau \end{array} \begin{array}{ccc} \nu_1 & \nu_2 & \nu_3 \\ \left(\begin{array}{ccc} 2/3 & 1/3 & 0 \\ 1/6 & 1/3 & 1/2 \\ 1/6 & 1/3 & 1/2 \end{array} \right) \end{array}$$

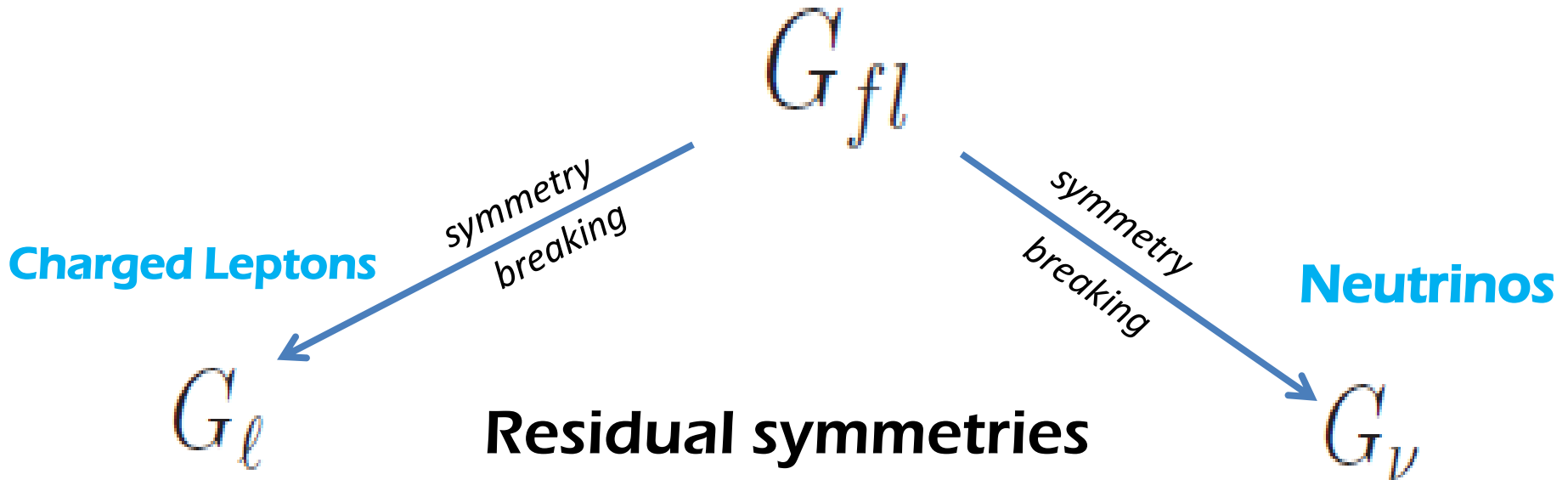
$$|U_{BM}|^2 = \begin{array}{c} e \\ \mu \\ \tau \end{array} \begin{array}{ccc} \nu_1 & \nu_2 & \nu_3 \\ \left(\begin{array}{ccc} 1/2 & 1/2 & 0 \\ 1/4 & 1/4 & 1/2 \\ 1/4 & 1/4 & 1/2 \end{array} \right) \end{array}$$

- *Weren't discrete symmetries DEAD?*
- *That is, didn't they predict $\theta_{13} = 0$?*



$\theta_{13} = 0$ IS **NOT** A
PREDICTION OF
DISCRETE
SYMMETRIES

Flavor Symmetry

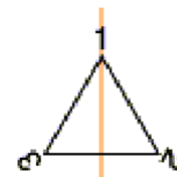


Example: G_{fl} the symmetry of the equilateral triangle

$$G_\ell \equiv O$$



$$G_\nu \equiv P$$



BOTTOM UP

1. *Find accidental symmetries of the charged lepton and neutrino mass terms*
2. *Choose discrete subgroups in both cases*
3. *Combine them to define G_{fl}*

IN DETAIL

1.- Identify the accidental symmetries

$$\mathcal{L} = \frac{g}{\sqrt{2}} \bar{\ell}_L U_{PMNS} \gamma^\mu \nu_L W_\mu^+ + \bar{E}_R m_\ell \ell_L + \frac{1}{2} \bar{\nu}_L^c m_\nu \nu_L + \dots + \text{h.c.}$$

Charged Leptons

$\bar{E}_R m_\ell \ell_L$ is invariant under $U(1)^3$ **accidental**

$$E_R \rightarrow T E_R, \quad \ell_L \rightarrow T \ell_L \quad T = \text{diag}\{e^{i\alpha}, e^{i\beta}, e^{i\gamma}\}$$

IN DETAIL

1.- Identify the accidental symmetries

$$\mathcal{L} = \frac{g}{\sqrt{2}} \bar{\ell}_L U_{PMNS} \gamma^\mu \nu_L W_\mu^+ + \bar{E}_R m_\ell \ell_L + \frac{1}{2} \bar{\nu}_L^c m_\nu \nu_L + \dots + \text{h.c.}$$

Neutrinos

$\frac{1}{2} \bar{\nu}_L^c m_\nu \nu_L$ invariant under $Z_2 \otimes Z_2$ accidental

$$S_1 = \begin{pmatrix} 1 & & \\ & -1 & \\ & & -1 \end{pmatrix}, \quad S_2 = \begin{pmatrix} -1 & & \\ & 1 & \\ & & -1 \end{pmatrix}, \quad S_3 = S_1 S_2 = \begin{pmatrix} -1 & & \\ & -1 & \\ & & 1 \end{pmatrix}$$

$$\mathcal{L} = \frac{g}{\sqrt{2}} \bar{\ell}_L U_{PMNS} \gamma^\mu \nu_L W_\mu^+ + \bar{E}_R m_\ell \ell_L + \frac{1}{2} \bar{\nu}^c_L m_\nu \nu_L + \dots + \text{h.c.}$$

Change of basis

$$M_\nu = U^* m_\nu U^\dagger$$

$$M_\ell = m_\ell V$$

$$U_{PMNS} = V U$$

$$\mathcal{L} = \frac{g}{\sqrt{2}} \bar{\ell}_L \gamma^\mu \nu_L W_\mu^+ + \bar{E}_R M_\ell \ell_L + \frac{1}{2} \bar{\nu}^c_L M_\nu \nu_L + \dots + \text{h.c.}$$

Take $U \equiv U_{PMNS} \quad V \equiv 1$

Invariance of M_ν
under $Z_2 \otimes Z_2$ $\Rightarrow S_{iU}^\dagger M_\nu S_{iU} = M_\nu$ with $S_{iU} = U S_i U^\dagger$

accidental

Still $S_{iU}^2 = 1$

2.- Choose the flavor subgroups

For the neutrinos

Simply choose at least one of the S_{iU}

2.- Choosing the flavor subgroups

*For charged leptons, use a **finite abelian** subgroup of $U(1)^3$ as the group of flavor*

Impose $T^m = 1$, T unitary

$$T = \begin{pmatrix} e^{2\pi i k_1/m} & & \\ & e^{2\pi i k_2/m} & \\ & & e^{-2\pi i (k_1 + k_2)/m} \end{pmatrix}$$

3.- Define the flavor group

- *Define a relation between S_{iU} and T*

We had $T^m = 1, \quad S_{iU}^2 = 1$

Add $(S_{iU}T)^p = (US_iU^\dagger T)^p = \mathbb{I}$

The relations

$$S_{iU}^n = T^m = (S_{iU}T)^p = \mathbb{I}$$

define the **von Dyck group** $D(n, m, p)$

$D(2, 2, p)$ is the dihedral group \mathbf{D}_p

$$D(2, 2, 3) = \mathbf{S}_3$$

$$D(2, 3, 3) = \mathbf{A}_4$$

$$D(2, 3, 4) = \mathbf{S}_4$$

$$D(2, 3, 5) = \mathbf{A}_5$$

Notice that if

$$\frac{1}{n} + \frac{1}{m} + \frac{1}{p} \leq 1$$

The von Dyck group is infinite

$$S_{iU}^n = T^m = (S_{iU}T)^p = \mathbb{I}$$

$$\frac{\pi}{n} + \frac{\pi}{m} + \frac{\pi}{p} > \pi$$

Positive curvature space

$$\frac{\pi}{n} + \frac{\pi}{m} + \frac{\pi}{p} = \pi$$

Flat space

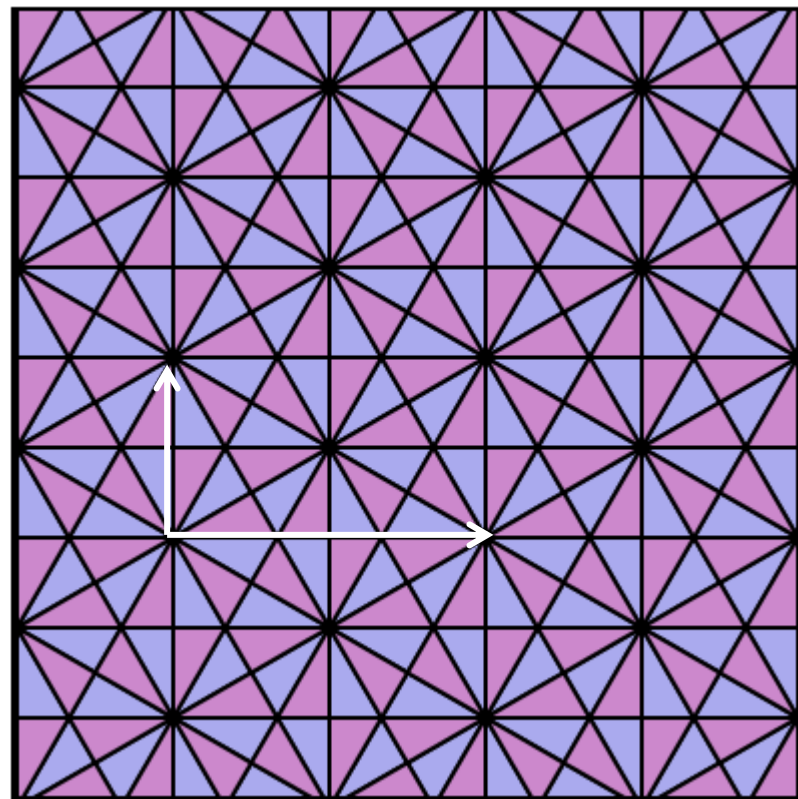
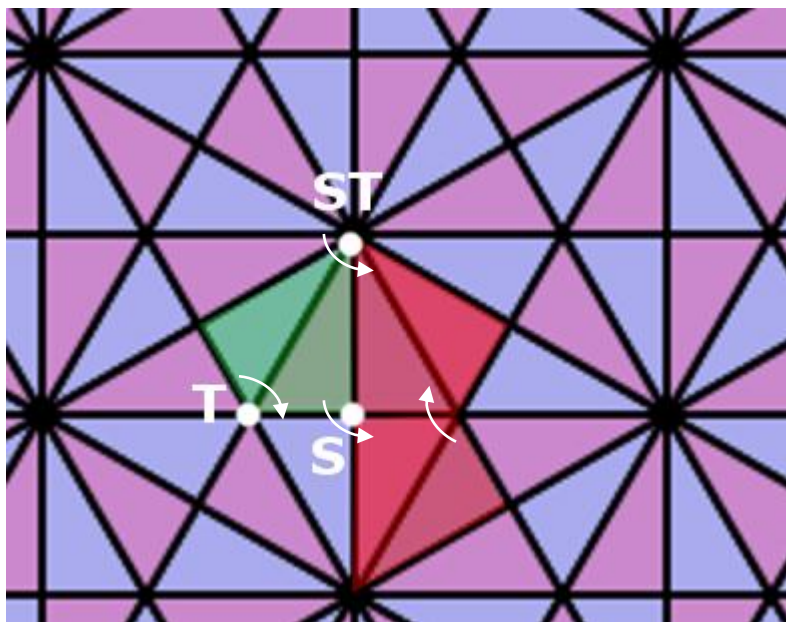
$$\frac{\pi}{n} + \frac{\pi}{m} + \frac{\pi}{p} < \pi$$

Negative curvature space

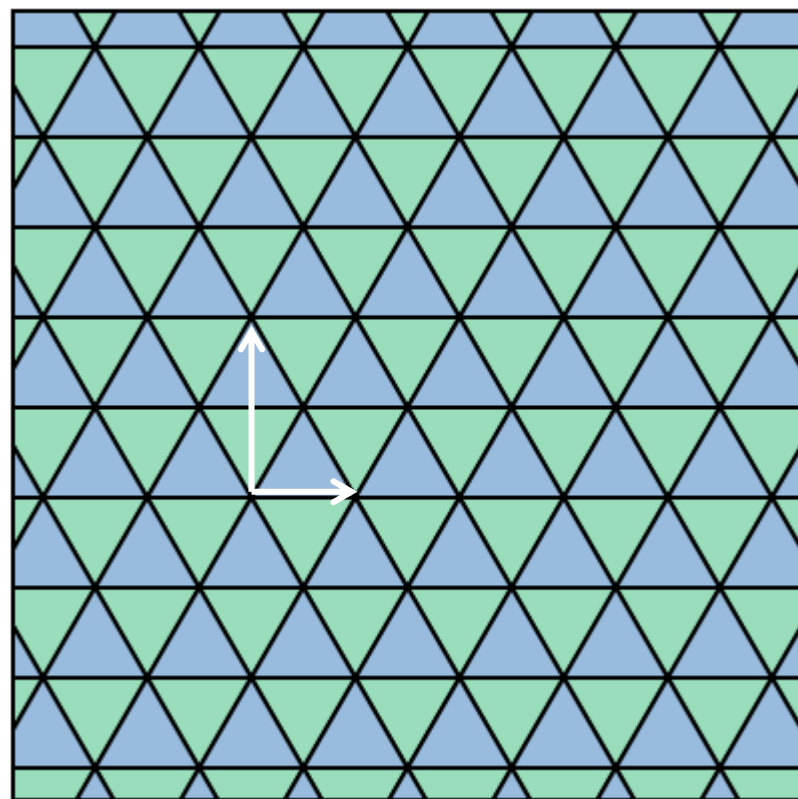
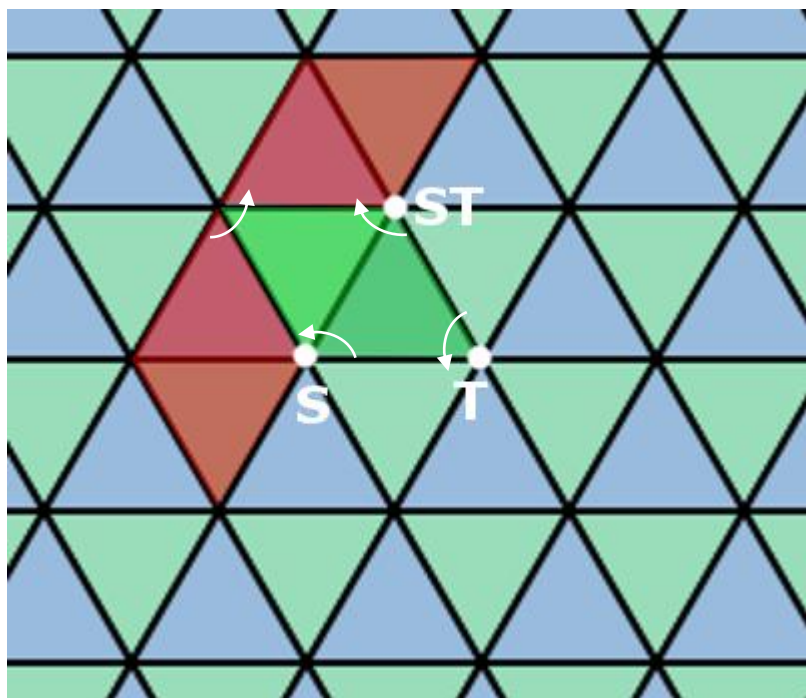
Take $n = 2, \quad m = 3, \quad p = 6$

$$\frac{\pi}{2} + \frac{\pi}{3} + \frac{\pi}{6} = \pi$$

$$S^2 = T^3 = (ST)^6 = 1$$

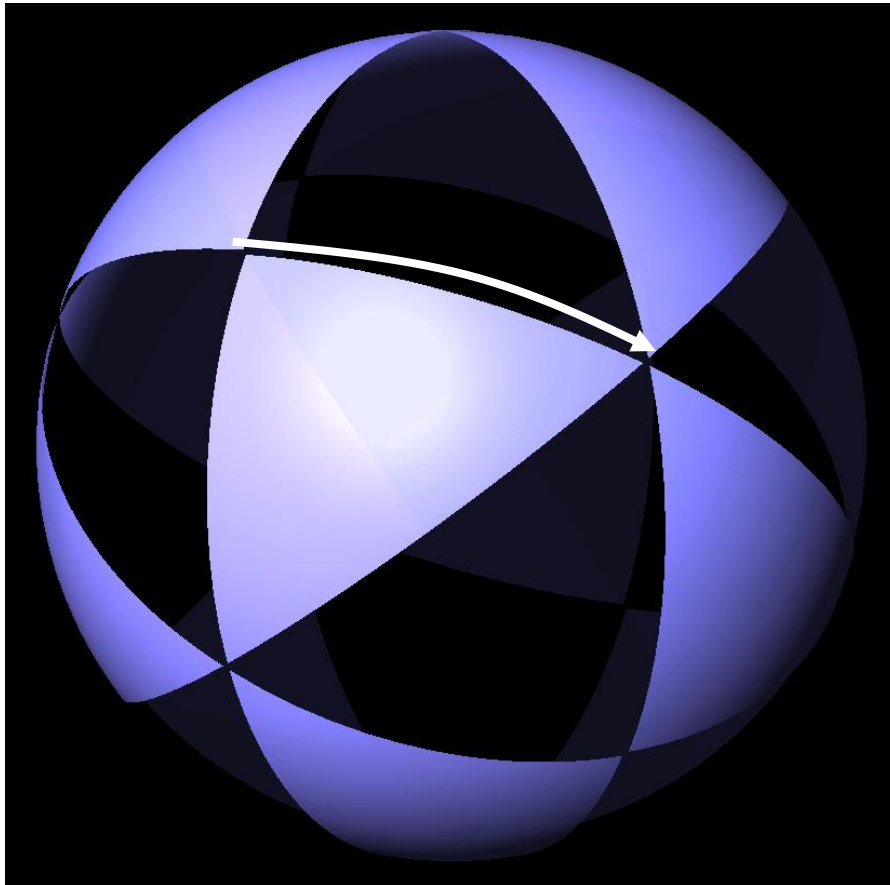


$$n = 3, \quad m = 3, \quad p = 3$$



$$S_{iU}^n = T^m = (S_{iU}T)^p = \mathbb{I}$$

$$\frac{\pi}{n} + \frac{\pi}{m} + \frac{\pi}{p} > \pi \quad \text{corresponds to tessellations of the sphere}$$



Finite number of translations!

*Now that we know the flavor group
and the symmetry breaking pattern,
find constraints on mixing.*

CONSTRAINTS ON THE MIXING MATRIX

$$W_i = S_{iU} T = U S_i U^\dagger T, \quad W_i^P = 1$$



$$\text{Det}[W_i - \lambda \mathbb{I}] = 0 \quad \text{cubic equation with} \quad \lambda_i^P = 1$$



$$\lambda^3 + a\lambda^2 - a^*\lambda - 1 = 0 \quad \text{with} \quad a = -\text{Tr}[W_i]$$

Two equations, one for the real and one for the imaginary part of a



TWO CONSTRAINTS ON THE MIXING MATRIX

$$W_i = S_i U T = U S_i U^\dagger T, \quad W_i^p = 1$$

The constraints on the entries of the mixing matrix depend on

$$a = -\text{Tr}[W_i]$$

$$T = \begin{pmatrix} e^{2\pi i k_1/m} & & \\ & e^{2\pi i k_2/m} & \\ & & e^{-2\pi i (k_1+k_2)/m} \end{pmatrix}$$

and which S_i is chosen

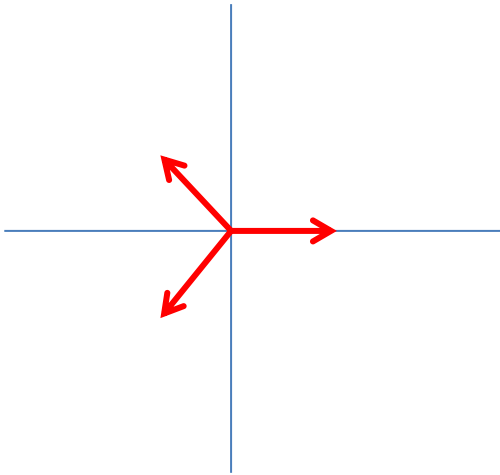
$$S_1 = \begin{pmatrix} 1 & & \\ & -1 & \\ & & -1 \end{pmatrix} \quad S_2 = \begin{pmatrix} -1 & & \\ & 1 & \\ & & -1 \end{pmatrix} \quad S_3 = S_1 S_2 = \begin{pmatrix} -1 & & \\ & -1 & \\ & & 1 \end{pmatrix}$$

$$W_i = S_i U T = U S_i U^\dagger T, \quad W_i^p = 1$$

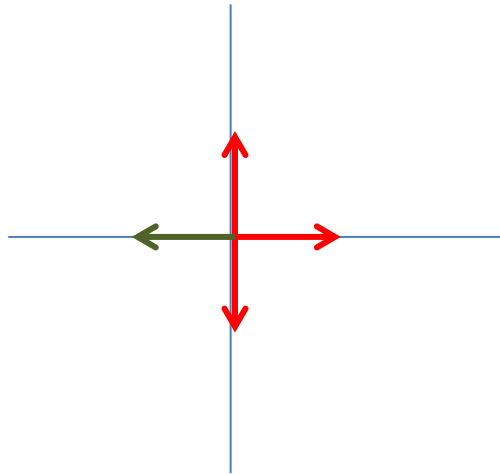
Constraints on the mixing matrix

$$a = -\text{Tr}[W_i]$$

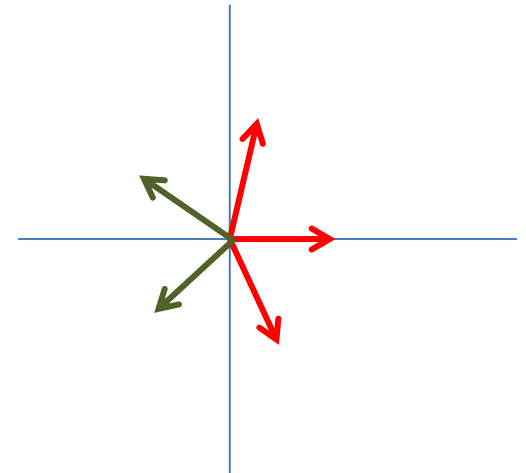
$p=3$



$p=4$



$p=5$



Example: $p = 3 \longrightarrow (\lambda - 1)(\lambda - \omega)(\lambda - \omega^2) = \lambda^3 - 1 \longrightarrow a = 0$

or $p = 4 \longrightarrow (\lambda - 1)(\lambda + i)(\lambda - i) = \lambda^3 - \lambda^2 + \lambda - 1 \longrightarrow a = -1$

***The moduli squared of one column are determined
(two constraints plus unitarity)***

$$|U_{l\nu}|^2 = \begin{pmatrix} \overset{\mathbf{S}_1}{\boxed{\begin{matrix} |U_{e1}|^2 \\ |U_{\mu1}|^2 \\ |U_{\tau1}|^2 \end{matrix}}} & \overset{\mathbf{S}_2}{\boxed{\begin{matrix} |U_{e2}|^2 \\ |U_{\mu2}|^2 \\ |U_{\tau2}|^2 \end{matrix}}} & \overset{\mathbf{S}_3}{\boxed{\begin{matrix} |U_{e3}|^2 \\ |U_{\mu3}|^2 \\ |U_{\tau3}|^2 \end{matrix}}} \end{pmatrix} \quad \begin{aligned} R_i &= \text{Re}\{\text{Tr}[W_i + T]\} \\ I_i &= \text{Im}\{\text{Tr}[W_i + T]\} \end{aligned}$$

$$|U_{e i}|^2 = - \frac{R_i \cos\left(\pi \frac{k_1}{m}\right) - 2 \cos\left(\pi \frac{k_1+2k_2}{m}\right) - I_i \sin\left(\pi \frac{k_1}{m}\right)}{4 \sin\left(\pi \frac{k_1-k_2}{m}\right) \sin\left(\pi \frac{2k_1+k_2}{m}\right)}$$

$$|U_{\mu i}|^2 = \frac{R_i \cos\left(\pi \frac{k_2}{m}\right) - 2 \cos\left(\pi \frac{2k_1+k_2}{m}\right) - I_i \sin\left(\pi \frac{k_2}{m}\right)}{4 \sin\left(\pi \frac{k_1-k_2}{m}\right) \sin\left(\pi \frac{k_1+2k_2}{m}\right)}$$

$$|U_{\tau i}|^2 = - \frac{R_i \cos\left(\pi \frac{k_1+k_2}{m}\right) - 2 \cos\left(\pi \frac{k_1-k_2}{m}\right) + I_i \sin\left(\pi \frac{k_1+k_2}{m}\right)}{4 \sin\left(\pi \frac{2k_1+k_2}{m}\right) \sin\left(\pi \frac{k_1+2k_2}{m}\right)}$$

A particular case for T (lazy' case)

$$a = -\text{Tr}[W_i]$$

$$T_e = \begin{pmatrix} 1 & & \\ & e^{2\pi i k/m} & \\ & & e^{-2\pi i k/m} \end{pmatrix} \quad T_\mu = \begin{pmatrix} e^{2\pi i k/m} & & \\ & 1 & \\ & & e^{-2\pi i k/m} \end{pmatrix} \quad T_\tau = \begin{pmatrix} e^{2\pi i k/m} & & \\ & e^{-2\pi i k/m} & \\ & & 1 \end{pmatrix}$$

$$|U_{\beta i}|^2 = |U_{\gamma i}|^2$$

$$|U_{\alpha i}|^2 = \eta, \quad \beta, \gamma \neq \alpha$$

$$\eta \equiv \frac{1-a}{4 \sin^2 \left(\frac{\pi k}{m} \right)}$$

Remember

$$|U_{l\nu}|^2 = \begin{matrix} & \nu_1 & \nu_2 & \nu_3 \\ \begin{matrix} e \\ \mu \\ \tau \end{matrix} & \begin{pmatrix} \sim 0.7 & \sim 0.3 & \sim 0 \\ \sim 0.1 & \sim 0.5 & \sim 0.4 \\ \sim 0.2 & \sim 0.2 & \sim 0.6 \end{pmatrix} \end{matrix}$$

Hence in this case, either $i = 2$ or $\alpha = e$

Actually, we have shown that the lazy case is unavoidable if the von Dyck group is finite!

Recapitulating: *What I have shown (under some - mostly harmless - assumptions)*

After a number of choices have been made

1. The **T-charge** of the charged leptons (k_1 and k_2 value)
2. The **order of T** (m value)
3. The **S-charges** of the neutrinos
4. The **eigenvalues of ST** (a value)

A two-dimensional surface is cut in the parameter space of the mixing matrix.

THIS IS **ALL DISCRETE SYMMETRIES CAN TELL YOU FOR SURE ABOUT MIXING!**

Is it possible to fit the measured values of the PMNS matrix??

Choose $\alpha = e$ in the 'lazy' case

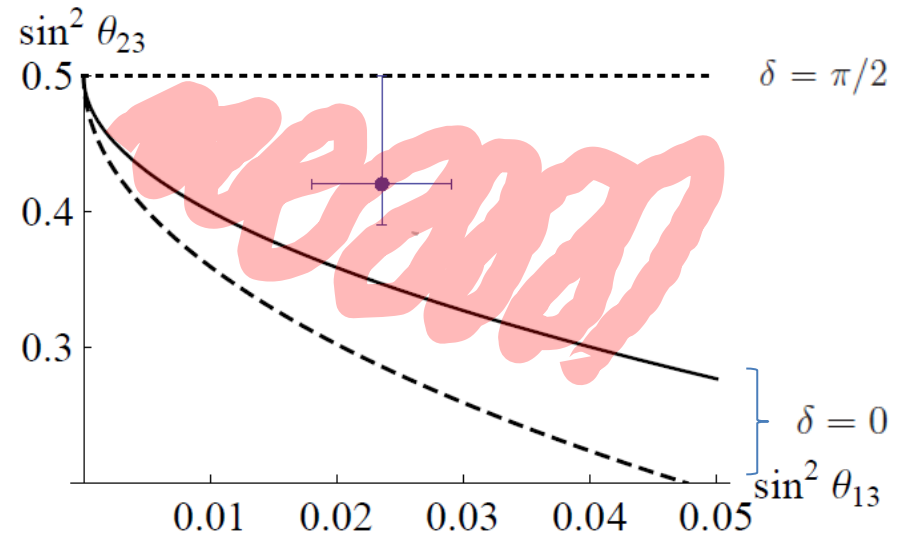
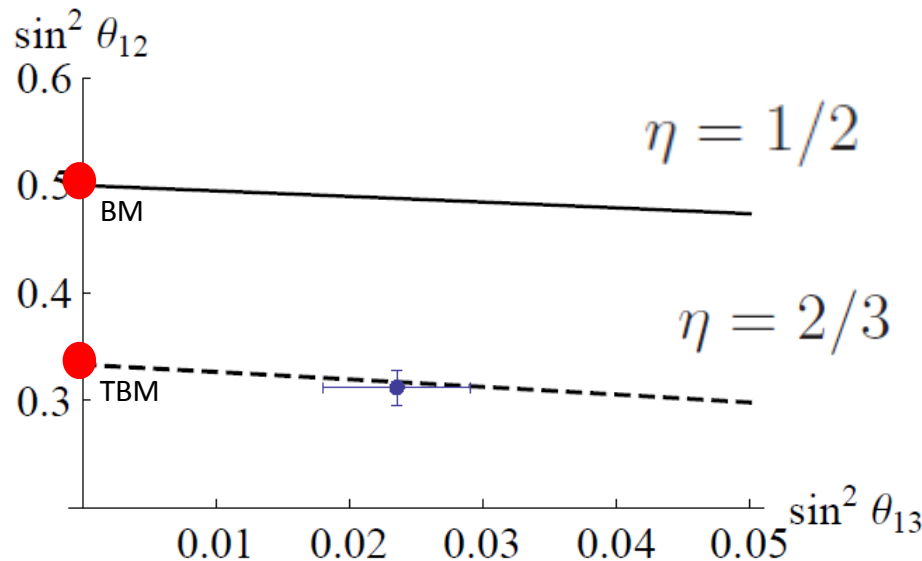
Taking $i = 1$

$$S_{iU}^n = T^m = (S_{iU}T)^p = \mathbb{I}$$

$$\lambda^3 + a\lambda^2 - a^*\lambda - 1 = 0$$

$$\eta \equiv \frac{1-a}{4 \sin^2 \left(\frac{\pi k}{m} \right)}$$

- Solid: $m = 4, p = 3, k=1$ and from $(\lambda - 1)(\lambda - \omega)(\lambda - \omega^2) = \lambda^3 - 1$, $a=0$. Group is S_4
- Dashed: $m = 3, p = 4, k=1, a=-1$. Group is S_4



CONCLUSIONS (Discrete)

- *Constraints on mixing from discrete symmetries are model independent...*
- *... and can be obtained in a systematic, rather simple way*
- *θ_{13} is not forced to be zero. In general, two parameters are predicted out of 4 in the mixing matrix.*
- *Many results can be readily obtained: TBM, BM and analysis of less known groups made easy.*

CONCLUSIONS (Discrete)

- IF a *larger residual symmetry* is imposed in the neutrino sector, *up to 4 constraints in the mixing matrix*.
- In this case, there's still *compatibility with measured mixings*. *Masses predicted degenerate*.

